

1 **Descriptive Conversion of Performance Indicators in**

2 **Rugby Union**

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27 **Abstract**

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29 *Objectives:* The primary aim of this study was to examine whether accuracy of rugby union
30 match prediction outcomes differed dependent on the method of data analysis (i.e., isolated
31 vs. descriptively converted or relative data). A secondary aim was to then use the most
32 appropriate method to investigate the performance indicators (PI's) most relevant to match
33 outcome.

34 *Methods:* Data was 16 PI's from 127 matches across the 2016-17 English Premiership rugby
35 season. Given the binary outcome (win/lose), a random forest classification model was built
36 using these data sets. Predictive ability of the models was further assessed by predicting
37 outcomes from data sets of 72 matches across the 2017-18 season.

38 *Results:* The relative data model attained a balanced prediction rate of 80% (95% CI – 75-
39 85%) for 2016-17 data, whereas the isolated data model only achieved 64% (95% CI – 58-
40 70%). In addition, the relative data model correctly predicted 76% (95% CI – 68-84%) of the
41 2017-18 data, compared with 70% (95% CI – 63-77%) for the isolated data model. From the
42 relative data model, 10 PI's had significant relationships with game outcome; kicks from
43 hand, clean breaks, average carry distance, penalties conceded when the opposition have the
44 ball, turnovers conceded, total metres carried, defenders beaten, ratio of tackles missed to
45 tackles made, total missed tackles, and turnovers won.

46 *Conclusions:* Outcomes of Premiership rugby matches are better predicted when relative data
47 sets are utilised. Basic open-field abilities based around an effective kicking game, ball
48 carrying abilities, and not conceding penalties when the opposition are in possession are the
49 most relevant predictors of success.

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51 **Keywords:** Team sport, random forest, performance indicators, partial dependence plots

52 **Introduction**

53 Success in sport can be assessed and quantified with performance indicators (PIs)¹.
54 Understanding PI's that relate to success in sport is important for coaches to improve future
55 technical, tactical and physiological performance². Whilst the most meaningful PI's should
56 differentiate between successful and unsuccessful outcomes¹, no consensus can currently be
57 drawn in rugby union regarding PI's associated with success³⁻⁹.

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59 Based on the available literature, the frequency of ball kicking differentiates success in both
60 domestic and international rugby union matches^{4,7,8}. Winning teams kick the ball more and
61 kick away greater proportions of possession. Match winners also have lower error^{4,9} and
62 turnover^{8,9} rates compared to losers. In addition, winners have an effective defensive game,
63 with a superior success rate at the tackle⁸ and make more tackles overall⁴. Attacking actions,
64 such as higher distance of average carry⁸ and making more clean breaks in the opposition's
65 defensive line^{3,7,8}, are also associated with successful performances. Together with open field
66 actions, set piece performance is important, with winners securing more opposition lineouts⁹
67 and a greater effectiveness at the scrum⁷. However, some research has failed to uncover
68 significant differences in PI's between successful and less successful teams. For example, at
69 the 2011 World Cup competition, multiple indicators were examined and no differences were
70 established that explained tournament ranking⁵.

71

72 It is unlikely that the complex, dynamic and interactive games such as rugby union can be
73 represented by simple analysis or frequency data⁵. The conflict in current literature with
74 respect to PI's and match outcome is best represented by Vaz et al⁴. They reported significant
75 predictors of match outcome in the Super Rugby competition, but the same PI's did not
76 differentiate between winners and losers in an International competition. The authors

77 suggested international level differences between winners and losers do not exist or are
78 masked by variations in playing styles that underpin match outcome.

79

80 A significant limitation of the above research is the failure to acknowledge that, in rugby
81 union, outcome depends on ability and performance of both teams. Therefore, when
82 considering associations between PI's and competition results equal emphasis should be
83 placed on data from each team². Failure to do so will likely distort any relationships present¹.
84 Processing sports data to consider PI's as a differential between opponents is suggested as a
85 better descriptor of a sport's nature¹⁰ and a contest's outcome. In analysing sports data, this
86 type of data processing method has been termed "descriptive conversion" but has not been
87 applied in the literature concerning rugby union. Only isolated data has been considered,
88 'isolated' referring to the PI's of each participating team considered discretely and not
89 relative to the opposition.

90

91 The primary aim of this study was to examine whether accuracy of match prediction
92 outcomes differed dependent on the method of data analysis (i.e., isolated vs descriptively
93 converted data). A secondary aim was to use the most appropriate method to identify the
94 most relevant PI's for successful outcomes in rugby union and specify how this information
95 can have practical relevance to sports practitioners.

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97 **Methods**

98 PI's for the 2016-17 English Premiership Rugby Union regular season and the first 12 rounds
99 of the 2017-18 season were downloaded from the OPTA website (optaprorugby.com). The
100 2016-17 season data consisted of 22 rounds of 6 matches (132 matches total, 12 teams). As
101 the study assessed the impact of PI's on a binomial outcome (win/loss), matches that finished

102 with a draw ($n = 5$) were excluded from analysis. The full set of team PI's for each match
103 were utilised in the analysis. These PI's were "carries made", "clean breaks", "offloads",
104 "total number of defenders beaten", "total number of metres ball was carried", "tackles
105 made", "tackles missed", "ratio tackles missed to tackles made", "turnovers a team won",
106 "turnovers a team conceded", "lineout throws won on own ball", "lineout throws lost on own
107 ball", "the number of kicks from hand", "penalties conceded offence" (with the ball),
108 "penalties conceded defence" (without the ball) and "the average distance for each ball
109 carry".

110

111 The PI's of a single team, from one match, were considered isolated data. For example, if
112 team A carried 450 m in total during the game and team B 300 m, the assigned isolated data
113 values would be 450 m for team A and 300 m for team B. From each game, descriptive
114 conversion was also undertaken by calculating the differences between teams and this data
115 set was termed the relative data set. From the previous example the relative data values
116 would be +150 m for team A and -150 m for team B.

117

118 Collinearity between predictors, in both data sets, was investigated using the rfUtilities
119 package¹¹. No collinearity was noted between predictors in the isolated data set. Collinearity
120 was noted between defenders beaten and tackles missed in the relative data set. A separate
121 analysis was run for the relative data set, with these predictors eliminated. The results
122 indicated that the collinearity had no effect on the predictive ability or the casual inferences
123 from the random. forest. With this in mind the decision was made to run the analysis with the
124 original "intact" data set.

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126

127 PI's from each data set (relative and isolated) were used as predictors for match outcomes
128 (win/lose). To interpret relationships between PI's and match outcome a random forest
129 classification model was developed, using 2016-17 season data, with the randomForest¹²
130 package in R¹³. A classification model predicts categorical outcome from a set of predictor
131 variables¹⁴. The randomForest package uses ensembles of decision making trees to categorise
132 data¹⁵. A decision tree repeatedly repartitions data, with binary splits, to maximise subset
133 homogeneity, and estimates the class or distribution of a response¹⁶. The aggregate tree
134 approach of a random forest algorithm, has improved performance when compared to a
135 single tree¹⁵. Random forests also utilise bootstrapped data samples and random subsampling
136 of predictors in each tree to improve prediction accuracy and prevent overfitting¹⁵. The mean
137 decrease of accuracy (MDA)¹⁵ and mean of the distribution of minimal depth¹⁷ of each PI
138 were utilised to assess the importance of each predictor towards classification of game
139 outcome and Pearson's correlation coefficients used to assess agreement between PI MDA
140 and mean of distribution of minimal depth in each model¹⁸. A negative MDA value
141 represents a decrease in importance and not the presence of an inverse relationship¹⁹. The
142 significance level ($p < 0.05$) of the MDA of each PI was calculated, using the rfPermute
143 package²⁰, the rfPermute package permutes the response variable and produces a null
144 distribution for each predictor MDA and a p value of observed.

145

146 Partial dependency plots were produced for each significant predictor in the model defined as
147 most appropriate by the primary statistical analysis. Partial dependency plots are useful to
148 summarise the relationships between predictor and outcome relationships²¹ and are based on
149 permuted data sets that calculate the relationship between outcome and particular predictor
150 changes, accounting for averaged associations of all other predictors on outcome¹⁶.

151

152 Data from the first 12 rounds of the 2017-18 (i.e. the subsequent season) English Premiership
153 competition was then used to test the predictive relevance (i.e. overall accuracy of prediction
154 and balance) of both the isolated and relative models. Balance ensured models were equally
155 adept at picking winning or losing data sets and not having bias of success to either²².
156 Statistical significance of predictive accuracy for each model was recorded, as were z-scores
157 for McNemar's test²³, which was performed against the comparison of predictive ability of
158 each model. McNemar's test produces a z-score which when above 1.64 is indicative of a
159 confidence level of 95% that one model has better performance than another.

160

161 **Results**

162 The randomForest model based on the isolated data set from the 2016-17 season classified 85
163 from 127 losses (67%) and 78 from 127 wins (61%), giving an overall accuracy of 64% (95%
164 CI 58-70%, $p < 0.05$). The randomForest model based on the relative data set predicted 102 of
165 127 losses (80%) and 101 of 127 wins (80%), with an overall accuracy of 80% (95% CI 75-
166 85%, $p < 0.05$). The McNemar's value of 57.7 ($p < 0.05$) confirmed that the relative model
167 outperformed the isolated model.

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169 When assessing the predictive ability of the isolated data model against the first 12-rounds of
170 the 2017-18 season, 58 from 72 (81%) losses and 43 from 72 (60%) wins were correctly
171 classified, giving an overall accuracy of 70% (95% CI 63-77%, $p < 0.05$). Assessment of the
172 model based on relative data resulted in correct predictions for 54 of 72 wins (75%) and 55 of
173 72 losses (76%). This equated to an overall accuracy of 76% (95% CI 68-84%, $p < 0.05$).
174 McNemar's z score (31.1, $p < 0.05$) again confirmed the superior performance of the relative
175 data model.

176

177 Data with respect to each individual predictor variable's MDA is summarised in Table 1 and
178 Table 2 for the models based on the isolated and relative data sets, respectively. The isolated
179 data set model contained eight predictors whose distribution varied significantly from the
180 null. The relative data set model included ten predictors whose distribution varied
181 significantly from the null. The magnitude of significant MDA values ranged from 13.8 to -
182 1.8 in the isolated data model and 51.6 to -4.6 in the relative data model. Mean values for
183 minimum depth value for predictors in the isolated set varied from 2.53 for the strongest
184 predictor to 4.4 for the weakest. In the relative set these values were between 1.81 and 4.44.
185 A strong, negative correlation existed between MDA values of predictor importance and
186 mean minimum depth distribution within both models, the coefficient for the relative data
187 model being significantly higher¹⁸ ($r^2=-0.63$ isolated data predictors ($p<0.05$), $r^2=-0.91$
188 relative data predictors ($p<0.05$).

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190 ******Table 1 ******

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192 ******Table 2 ******

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195 Partial dependence plots for the top four predictors (based on MDA) were produced for the
196 relative data model (Figure 1a-d). Plots demonstrate positive associations between match
197 outcome and numbers of relative kicks from hand, relative clean breaks and relative average
198 carry. A negative relationship is present with penalties conceded in defence (when the
199 opposition are in possession). Plots also reveals upper limits are present for each PI, beyond
200 which no increase in the probability of a positive match outcome was noted.

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****Figure 1 ****

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Discussion

The primary aim of this study was to investigate for the first time whether a relative (a data set that has undergone descriptive conversion) or an isolated data set best predicted outcomes of rugby union matches. Results indicated relative data was more effective at predicting match outcome compared to isolated data. The model based on the relative data set outperformed the isolated data model in terms of overall accuracy and, as per previous research^{24,25}, the balance of prediction was poorer from the isolated model. Isolated data sets are a less accurate reflection of the association between PI's and match outcome^{1,10}. If data used to produce classification models is not an entirely accurate reflection of competition results, a bias will be present in the predictive outcomes. The reduced accuracy and balance of the isolated model in this study may help explain the conflict in previous research that have used isolated data sets⁴⁻⁷.

Stability of the ranking of predictors produced from random forests is key to their interpretation²⁶. The stochastic nature of a random forest is a result of the bagging, randomisation and permutation of the data set that is intrinsic to the methodology used in the process²⁷. Variable importance measures with small magnitudes of difference are more likely to have their rankings influenced by the processes that are central to the methodology. The MDA values of the models are presented in Tables 1 and 2. The PI's ranked first and fourth (for example) from the relative data model have larger magnitudes of differences between them than the first and fourth ranked PI's from the isolated data model. This denotes greater stability to deviations in ranking from the inherent modelling process and likely perturbations

227 in future data. The larger magnitude of the MDA vales for the model based on the relative
228 data set also signify greater overall importance and relevance of the data's ability to predict
229 match outcomes²⁸, bringing into question the use of isolated PI's in rugby union. This
230 conclusion is supported by the mean minimum depth distribution for a variable (Table 1),
231 confirming the primacy of the relative data model. Pearson's correlation coefficients between
232 mean minimum depths of each predictor and its MDA value confirmed a greater level of
233 agreement within the relative model.

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235 A secondary aim was to specify how our information can have practical application to sports
236 practitioners. Partial dependence plots are a novel method to examine a multitude of
237 relationships²⁹ but have not been utilised extensively in a sports performance setting to
238 interpret statistical information for practical use. They provide a useful summary of the
239 relationships between predictor variables and the predicted probability of match outcome²¹.

240 The partial dependence plots indicate there are upper limits for predictor levels, beyond
241 which no advantages are inferred towards game outcome (but not necessarily points
242 difference). These upper limits (and their associated lower limits) offer objective outcome
243 measures for teams to base game plans on and assess where training time is spent to win
244 more matches.

245

246 The top four predictors from the relative data model were represented in the partial
247 dependence plots (Figure 1a-d) and show that increases in average carry, clean breaks made
248 and kicks made are related to improved likelihood of positive match outcomes. Conversely
249 increased penalties, whilst the opposition have the ball, make a negative outcome more
250 likely. Of note, penalties conceded when the opposition have the ball had a significant
251 relationship with match outcome but penalties conceded when in possession of the ball did

252 not. Possibly, this relationship is not solely a reflection of the penalties given away but a
253 vestige of possession levels of teams; a high number of penalties conceded when the
254 opposition have the ball may merely be a function of increased quantity of possession of the
255 opposition. A further investigation needs to be undertaken that directly examines the
256 relationships between penalties conceded when the opposition possess the ball, team
257 possession, and game outcome. Whilst it is problematic to make presumptions without these
258 objective data, the relationship between match outcome and penalties is such that teams need
259 to focus on defensive strategies that are within the laws of the game. Similar conclusions can
260 be inferred between the relationship of game outcome and number of kicks from hand, with
261 relative kicks being an expression of relative possession levels. Data was not available for the
262 original 2016-17 season model to investigate further but, for the 2017-18 season, the number
263 of possessions a team attained in a match was positively related with the number of kicks
264 from hand ($r^2=0.42$ ($p<0.05$)). Possession statistics therefore explain only 42% of the
265 variance between kicks made in matches, the remainder provided by team attributes including
266 match tactics and strategy. It can therefore be conjectured that kicking has an impact on game
267 outcome outside of revealing a team's possession levels. In rugby union, kicking away
268 possession might be advantageous when teams have exhausted other options and are under
269 pressure of turning the ball over or being penalised in an unfavourable position. Equally,
270 kicking the ball away before a team is under pressure may be advantageous, and the
271 relationship between kicking and success could simply reflect the advantages inferred
272 through good tactical kicking strategy. Previous research suggests a positive relationship
273 between possession kicked and success in both international⁷ and domestic⁴ rugby. Ortega⁷
274 discusses how successful teams kick more frequently, but not the proportion of possession
275 kicked. Vaz⁴ however suggests that successful teams kick a greater amount of their
276 possession away allowing teams to gain territory more effectively than a carrying game. This

277 suggestion being equally applicable to the relationship between penalties in defence and
278 match outcome.

279

280 The MDAs for clean breaks made and average carry verify the positive impact of teams
281 having a strong ball carrying game. Indeed, research indicates clean breaks differentiated
282 between successful and unsuccessful teams in both domestic³ and international⁷ competitions.
283 This research demonstrates that average carry appears a more important predictor than the
284 total metres carried. Successful teams should have strategies and players who carry greater
285 average distance, compared to the opposition. Also, teams who prevent the opposition from
286 carrying ball past the gainline will have a positive impact on their relative average carry. This
287 confers the importance of robust defence as well as attacking ability and is supported by
288 MDA values for missed tackles and ratio of tackles missed to tackles made being significant
289 predictors of match outcome. Indeed, tackle completion has previously been shown to be an
290 important PI in determining success^{7,8}. Within the current study, tackle completion only
291 reached significance as a predictor of match outcome in the relative model. In rugby league,
292 regression of tackle technique is associated with fatigue, the greatest reductions in technique
293 occurring in the players with lowest aerobic fitness levels³⁰. The same relationship may exist
294 in rugby union, indicating aerobic fitness offers an advantage toward success. No work has
295 demonstrated a link between aerobic fitness and match outcome in rugby union.

296

297 It seems feasible that successful and unsuccessful teams differ in ability to identify tactical
298 processes. Average distance per carry is a more accurate predictor of outcome than overall
299 metres carried. This, combined with the observation that successful teams kick away more
300 ball compared to losing teams may indicate the ability of successful teams to identify when
301 effective carries can be made or otherwise to kick ball tactically. Tactically superior teams

302 may also use the kicking game to open up attacking options as well as a pressure relieving
303 method. A successful kicking game means opposition teams invest greater resource in
304 covering the backfield, resulting in a weakened defensive line and opportunities for effective
305 ball carries. Similar can be said around the tackle area, the ability to select when there is a
306 good chance of a turnover will mean the defensive line stays intact and gives the opposition
307 less opportunity to find space. It also has the added advantage of decreasing the number of
308 defensive penalties conceded in these situations.

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310 This work offers insight into rugby union not reported in the literature to date. It advances
311 evidence that relative data surpasses isolated data in explaining game outcome, therefore
312 being more relevant to analysts and coaches trying to influence behaviours of players and
313 teams². For instance, in previous studies success at the lineout has been demonstrated to be a
314 predictor of success^{7,9}. In this study lineouts won and lost were significant indicators in the
315 isolated data set, but not when considered as a relative data set. This is an appropriate
316 example of predictor and outcome relationships distortion when isolated data sets are used¹.
317 It is plausible the equivocality of current literature respective to predictors of performance in
318 rugby union is in part due to the exclusive use of isolated measures of PI's. Future research
319 should investigate physical and technical strategies to improve ball carrying quality, whilst an
320 in-depth exploration of kicking and its impact on game outcome would also provide valuable,
321 practical information.

322

323 **Conclusions**

324 This study demonstrates the effectiveness of utilising data that has undergone descriptive
325 conversion in predicting match outcomes. It also demonstrates game outcomes are more
326 closely related to open field abilities and basic skills such as ball carrying, kicking and

327 tackling ability than they are to set pieces and, despite the apparent complexity of the game,
328 success can be explained by a small number of basic components.

329

330 **Practical applications**

- 331 • The use of relative data sets rather than isolated data sets, when evaluating match
332 performance
- 333 • Devising game strategies to maximise average carry and tackles at or over the gainline.
- 334 • Having a focus on defensive strategies that minimise the likelihood of conceding
335 penalties. This would include areas of the game where high numbers of penalties are
336 conceded in matches, for example when defending driving line-outs.
- 337 • Using partial dependency plots to set objective team performance markers.

338

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341

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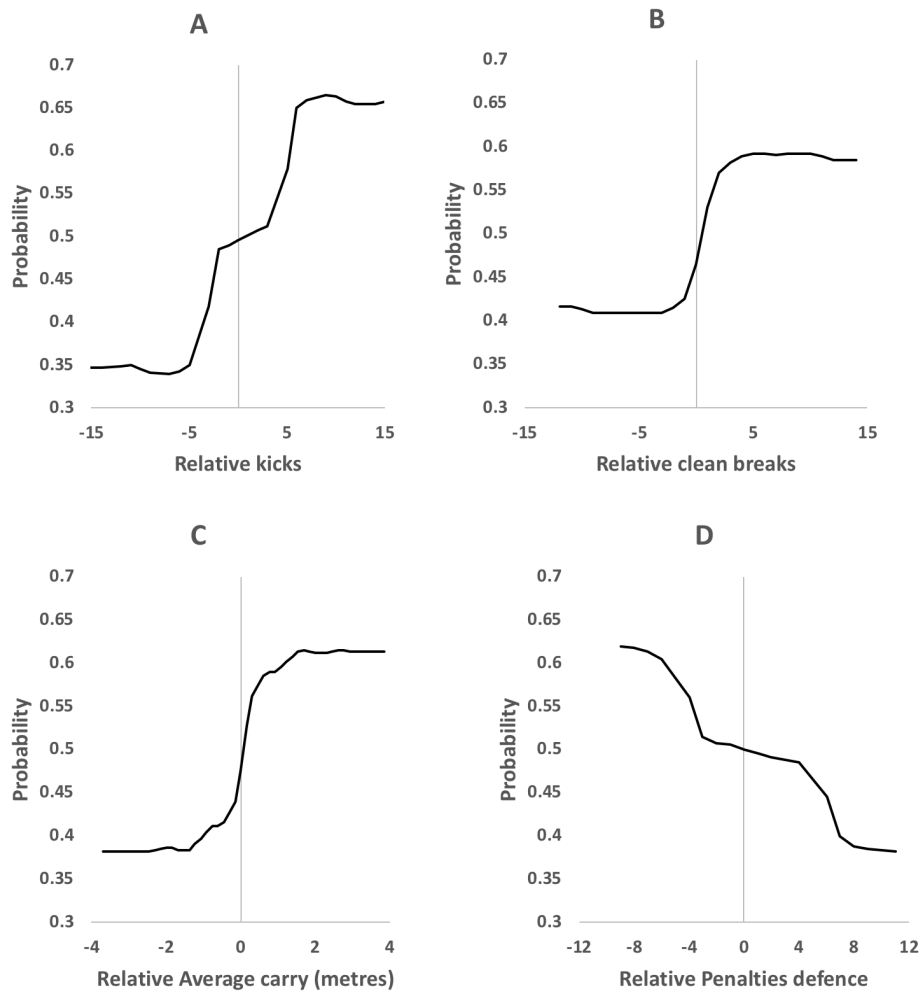
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429 **Figure 1.** Partial dependence plots for Random forest model based on the relative data set.
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431 The plots show the effect of relative kicks (Panel A), relative clean breaks (Panel B), relative
 432 average carry (Panel C) and relative penalties in defence (Panel D) on the classification of
 433 match outcome.

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443 **Table 1.** Mean decrease in accuracy, associated p values and mean value of minimum depth
444 distribution for the Random Forest model, based on the isolated set.

Performance indicator	MDA	p value	Mean min depth
Average carry	13.8	0.0198	2.53
Turnovers conceded	13.4	0.0099	2.98
Clean breaks	11.0	0.0198	3.19
Total metres carried	10.7	0.0297	2.9
Missed tackles	9.8	0.0297	3.29
Tackles made/missed	8.7	0.0594	2.65
Kicks from hand	8.7	0.0495	3.10
Own LO won	8.5	0.0396	3.90
Own LO lost	6.7	0.0495	3.85
Defenders beaten	6.6	0.0693	3.46
Carries	4.1	0.1386	3.87
Penalties defence	2.4	0.2178	3.52
Tackles made	0.6	0.3663	3.62
Penalties offence	-0.3	0.4275	4.4
Turnovers won	-0.6	0.5050	3.9
Offloads	-1.8	0.6535	3.95

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461 **Table 2.** Mean decrease in accuracy, associated p values and mean value of minimum depth

462 distribution for the Random Forest model, based on the relative set.

Performance indicator	MDA	p value	Mean min depth
Kicks from hand	51.6	0.0099	1.81
Clean breaks	34.3	0.0099	2.31
Average carry	34.2	0.0099	2.17
Penalties defence	23.9	0.0099	2.62
Turnovers conceded	20.9	0.0099	2.79
Total metres carried	16.9	0.0099	2.88
Defenders beaten	12.3	0.0099	3.54
Tackle made: missed	12.2	0.0099	3.19
Missed tackles	12.0	0.0099	3.67
Turnovers won	6.2	0.0495	3.31
Carries	5.4	0.1800	3.89
Own LO won	3.5	0.2574	3.58
Offloads	1.8	0.2574	3.68
Tackles made	1.4	0.2673	3.93
Own LO lost	-0.1	0.4653	3.94
Penalties defence	-4.6	0.9505	4.44

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